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PATENT TRADEMARK OFFICE

PATENT  
Attorney Docket No.: DF-01601HAVERSTOCK & OWENS LLP  
162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700MAIL STOP PATENT APPLICATION  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450Anticipated Classification of this Application:  
Class: \_\_\_\_\_ Subclass: \_\_\_\_\_  
Prior Application: 10/259,137  
Examiner: Kennedy, Jennifer M  
Art Unit: 281208306 U.S. PTO  
10/632641  
08/01/03

## TRANSMITTAL OF FILING UNDER 37 C.F.R. § 1.53(b)

Sir:

This is a request for filing a Divisional application under 37 C.F.R. § 1.53(b), of pending prior application Serial No. 10/259,137 filed on September 26, 2002 of Kow Ming Chang et al. for **STRUCTURE OF THIN FILM TRANSISTOR AND MANUFACTURING METHOD THEREOF**

## CERTIFICATION UNDER 37 § 1.10

I hereby certify that this new application and the document referred to as enclosed herein are being deposited with the United States Postal Service on this date August 1, 2003, in an envelope bearing "Express Mail Post Office to Addressee" Mailing Label Number EV349858870US addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Francis Guerra  
(Name of Person Mailing Paper)

Signature

## 1. Copy of Prior Application as Filed Which is Attached

I hereby verify that the attached papers are a true copy of what is shown in my records to be the above identified prior application, including the oath or declaration originally filed. The copy of the papers of prior application as filed which are attached are as follows: 9 page(s) of specification; 5 page(s) of claims; 1 page(s) of abstract; 7 sheet(s) of drawings; 3 page(s) of declaration combined with power of attorney by assignee; and 2 page(s) of assignment including PTO-1595.

## 2. Amendments

☒ Cancel in this application original claims 1-12 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes).☒ A preliminary amendment

## 3. Fee Calculation (37 C.F.R. § 1.16)

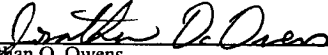
CLAIMS AS FILED				
	Number Filed	Number Extra	Rate	Basic Fee (37 C.F.R. § 1.16(a))
				750.00
Total Claims (37 C.F.R. § 1.16(c))	22 - 20 =	2	\$18.00	36.00
Independent Claims (37 C.F.R. § 1.16(b))	2 - 3 =	0	\$84.00	0.00
Multiple dependent claim(s), if any (37 C.F.R. § 1.16(d))			\$280.00	0.00
Filing Fee Calculation				\$786.00
4. <input checked="" type="checkbox"/> Applicants are entitled to small entity status	50% Filing Fee Reduction			\$393.00
5. <input checked="" type="checkbox"/> Assignment Recordation Fee				\$40.00

6. Drawings  
7 Sheets of drawings are enclosed.  
X Formal.
7. Relate Back-35 U.S.C. § 120  
X Amend the specification by inserting before the first line the sentence:  
--This is a divisional of U.S. Patent Application Ser. No. 10/259,137 filed September 26, 2002, the contents of which are hereby incorporated by reference.--
8. Inventorship Statement  
(a) With respect to the prior co-pending U.S. application from which this application claims benefit under 35 U.S.C. § 120 the inventor(s) in this application are:  
X the same.  
(b) The inventorship for all the claims in this application are:  
X the same.
9. Fee Payment Being Made At This Time  
X Enclosed.
- 
- |  |                     |          |
|--|---------------------|----------|
|  | Total Fees Enclosed | \$433.00 |
|--|---------------------|----------|
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10. Method of Payment of Fees  
X Check in the amount of \$433.00 (\$393.00 Basic Filing Fee plus \$40.00 Assignment Recordation Fee) enclosed.
11. Authorization To Charge Additional Fees  
X The Commissioner is hereby authorized to charge any fees or credit any overpayment during the pendency of this application to Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.
12. Power of Attorney  
X The power of attorney in the prior application is to: Jonathan O. Owens HAVERSTOCK & OWENS LLP, 162 N. Wolfe Road, Sunnyvale, CA 94086.  
a. X Address all future communications to:  
HAVERSTOCK & OWENS LLP  
ATTN: Jonathan O. Owens  
162 N. Wolfe Road  
Sunnyvale, CA 94086
12. Maintenance of Codependency of Prior Application  
X This application is a divisional of co-pending Serial No. 10/259,137 filed on September 26, 2002.
13. X Incorporation by Reference  
The entire disclosure of the prior application(s), from which a copy of the oath of declaration is supplied under Box 1, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: August 1, 2003

By: Jonathan O. Owens  
Name: Jonathan O. Owens  
Registration No.: 37,902

FORM PTO-1595 (Rev. 06/93) Office		<b>RECORDATION FORM COVER SHEET</b>		U.S. Department of Commerce Patent and Trademark	
OMB No. 0651-0011 (Exp. 04/94)		Attorney Docket No.: DF-01600			
Assistant Commissioner for Patents Washington, D.C. 20231					
Sir: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies):  Kow Ming Chang Yuan Hung Chung  Additional name(s) of conveying party(ies) attached.			2. Name and address of receiving party(ies):  National Chiao Tung University No. 1001, Ta Hsueh Road Hsinchu, Taiwan, 300, R.O.C.  Additional name(s) and address(es) attached.		
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____  Execution Date: <u>August 14, 2002</u>			4. Application number(s) or patent number(s):  <input checked="" type="checkbox"/> This document is being filed together with a new application, the execution date of the application is: <u>August 14, 2002</u> <input type="checkbox"/> Patent Application Serial No.(s): <input type="checkbox"/> Patent No.(s): <input type="checkbox"/> Additional numbers attached.		
5. Name and address of party to whom correspondence concerning document should be mailed:  Jonathan O. Owens HAVERSTOCK & OWENS LLP 162 North Wolfe Avenue Sunnyvale, California 94086			6. Total number of applications and patents involved: <u>1</u> .  7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]:  <input checked="" type="checkbox"/> Check(s) in the amount of \$536.00 (\$496.00 basic filing fee plus \$40.00 assignment recordation fee) enclosed.  8. Authorization to Charge Additional Fees:  <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §§ 1.21(h) and 3.41 to Deposit Account No. <u>08-1275</u> .		
9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.					
Dated: <u>September 26, 2002</u>		Signature: <u></u> Name: <u>Jonathan O. Owens</u> Reg. No.: <u>37,902</u>			
Total number of pages including cover sheet, attachments, and document: <u>2</u>					

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